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## BIB DATA SHEET

CONFIRMATION NO. 9758

| SERIAL NUMBER   | FILING or 371(c)<br>DATE  | CLASS                                 | GROUP ART UNIT                      | ATTORNEY DOCKET<br>NO.  |  |
|---|---|---------------------------------------|-------------------------------------|---|--|
| 10/697,851  | 10/30/2003<br>RULE  | 705                                   | 3691                                | 30894-101   |  |
| <b>APPLICANTS</b><br>Thomas Peterffy, Greenwich, CT;<br>Ken Leibler, Chestnut Hill, MA;<br>Luc Bertrand, Baie d'Urfe, CANADA;   |   |                                       |                                     |   |  |
| <b>** CONTINUING DATA *****</b><br>This appln claims benefit of 60/422,408 10/30/2002   |   |                                       |                                     |   |  |
| <b>** FOREIGN APPLICATIONS *****</b>  |   |                                       |                                     |   |  |
| <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b><br>01/30/2004   |   |                                       |                                     |   |  |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>Verified and /BIJENDRA K SHRESTHA/<br>Acknowledged Examiner's Signature | <input type="checkbox"/> Met after Allowance<br>Initials  | <b>STATE OR<br/>COUNTRY</b><br><br>CT | <b>SHEETS<br/>DRAWINGS</b><br><br>5 | <b>TOTAL<br/>CLAIMS</b><br><br>20   | <b>INDEPENDENT<br/>CLAIMS</b><br><br>6 |
| <b>ADDRESS</b><br>BURNS & LEVINSON, LLP<br>125 SUMMER STREET<br>BOSTON, MA 02110<br>UNITED STATES   |   |                                       |                                     |   |  |
| <b>TITLE</b><br>Price improvement processor for electronic trading of financial instruments   |   |                                       |                                     |   |  |
| <b>FILING FEE<br/>RECEIVED</b><br>514   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                       |                                     | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees (Filing)<br><input type="checkbox"/> 1.17 Fees (Processing Ext. of time)<br><input type="checkbox"/> 1.18 Fees (Issue)<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |  |